**TECH CENTER 1600/2900** 



U OF M MECH ENG DEPT SCHOOL LINGUIS, WOES

## EXPEDITED PROCEDURE - EXAMINING GROUP 1623

S/N <del>99/458,86</del>2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant Serial No .: Allison Hubel

Evaminer, Elli Pengley

09/458,862

Group Act Unit; 1623 Docker: 600.451US1

Tilani: Title:

December 10, 1999 COMPOSITIONS AND METHODS FOR CRYOPRESERVATON OF

PERIPHERAL BLOOD LYMPHOCYTES

## DECLARATION INDER 37 CFR 1.132

Sīr.

I, John C. Bischof, Ph.D., declare and say as follows:

- 1. I am 4 Professor in the Department of Mechanical Engineering at the University of Minnesota, I received a B.S. and a M.S. in Biomedical Engistering, followed by a Ph.D. in Mechanical Engineering. My research involves understanding the response of cells to changes in temperature. The uniforthy of these studies have involved the study of colle at freezing temperatures. I have published 35 peer reviewed journal articles in the field of what is urneed expeditology and I wo the president about for the Society fire Cryobiology.
- 2. I am familiar with the specification for the above-identified application and WO 97735472, and I make this Decision in support of the patemobility of the claims of the above-identified application.
- 3. Prior to the filing of the above-identified application, there was still a considerable need for the development and refinement of cryopreservation solutions and protocols. In particular, solutions and protocols developed for द्वीत भविदेव were imenable to राप्रकृतकारवादेव आरो साक्ष्रेकप्रकेशिक के स्वीदित based therapies, frequently resulted in suboptimal levels of post thaw visibility and, more often than not, employed protective agents that were hamfal upon infusion.

- A. It is a frequent misconception that a universal solution or protocol for preservation of mammalian cells can be developed. The fundamental physical phenomena present during freezing are strongly influenced by the composition of the freezing solution and the cell type being preserved. Thus, protocols and solutions developed for one cell type may not be appropriate for an atther. Accordingly, the broad, general disclosure in WO 97/35472 related to protocols and arabinogalactan commining solutions for the tryoppesservation of a variety of cells is not supported by the scientific knowledge in the field, i.e., based on the data in WO 97/35472 one skilled in the relevant field would not have a seasonable expectation that the protocols and solutions disclosed in WO 97/35472 would be useful for other cell types and, in particular, for primary cells.
- Most clinical and commercial applications of cryopreserved cells or besides require a threshold level of post than viability, a.g., 50% past than viability. Low levels of post than viability diminish the effectiveness of the therapy and may potentially recall in less of life. For transple, post them recovery of hematopoietic cells reduces the hematological recovery of patients undergoing home marrow transplant and increases the risk of destination in fection or other causes. WO 97/35472 fails to describe the post them viability of clinically relevant cells achieved using an arabinogalacian containing solution.
- Therefore, WC 97/35472 provides no reasonable expectation that any particular arabinogalactan containing solution would be useful to cryopreserve cells employed in cellular-based therapies, e.g., freshly isolated lymphocytes, hematopoietic stem cells or ay who modified lymphocytes.

  Moreover, WD 97/35472 provides no reasonable expectation that the use of such a solution would result in a threshold level of post than viability for cells employed in cellular based therapies.

- In contrast, the present application represents a significant and needed contribution to the cryopreservation of therapeutically relevant cells including hometopocitic cells. In particular, the application describes a cryopreservation solution and method which results in high post than visibility for an important cell type for both clinical and in when applications (e.g., lymphocytes), and a cryopreservation solution which is safe for human infinion.
- 8. I further declare that all statements made herein of my own knowledge one true, and that all statements were made with the knowledge that willful false statements and the like so made are punishable by find or imprisonment, or both, under Section 1001 of Title 18 of the United State Code, and that such willful false chimnents may jeopentize the middity of the application or any patent issued thereon.

Date: 10/29/02

Não C. Bisdof, Ph.D.